01-29-01

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8540/1(a)
First Inventor	Jeff (Yefim) Zhuk
Title	Distributed Active Knowledge and Process Base Allowing System ...
Express Mail Label No.	EK529697900US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ✔ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. ✔ Applicant claims small entity status.
See 37 CFR 1.27.

3. ✔ Specification   *[Total Pages* 40 *]*
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ✔ Drawing(s) *(35 U.S.C. 113)*   *[Total Sheets* 21 *]*

5. Oath or Declaration   *[Total Pages* 2 *]*
   a. ✔ Newly executed (original or copy)
   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 18 completed)
      i. ☐ **DELETION OF INVENTOR(S)**
      Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*   ✔ Power of Attorney
11. ☐ English Translation Document *(if applicable)*
12. ✔ Information Disclosure Statement (IDS)/PTO-1449   ✔ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ✔ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No.: _____ / _____

Prior application information: Examiner _____   Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label
(Insert Customer No. or Attach bar code label here)   or   ☒ Correspondence address below

Name	Thomas S. Birney, Esq.
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	3010 E. 6th Avenue

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Country	USA	*Telephone*	303-333-3010	*Fax*	303-333-1470

Name (Print/Type)	Thomas S. Birney	*Registration No. (Attorney/Agent)*	30,025
Signature	Thomas S. Birney	*Date*	January 26, 2001

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UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)
(Only for new nonprovisional applications under 37 CFR 1.53(b))

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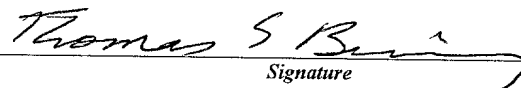Docket No.
8540/1(a)

Total Pages in this Submission

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate		Fee
Total Claims	23	- 20 =	3	x	$9.00	$27.00
Indep. Claims	3	- 3 =	0	x	$40.00	$0.00
Multiple Dependent Claims (check if applicable) ☐						$0.00
					BASIC FEE	$355.00
OTHER FEE *(specify purpose)*						$0.00
					TOTAL FILING FEE	$382.00

☒ A check in the amount of $382.00   to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No.   04-1414
as described below. A duplicate copy of this sheet is enclosed.
  ☐ Charge the amount of   as filing fee.
  ☒ Credit any overpayment.
  ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,
    pursuant to 37 C.F.R. 1.311(b).

Dated: **January 26, 2001**

Signature

Thomas S. Birney, Esq.
Reg. No. 30,025
Dorr, Carson, Sloan & Birney, P.C.
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Denver, Colorado 80206
303-333-3010
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CC:

P01USML/REV04